

NON-FINANCIAL TRANSACTION FORM

(For Existing Unit Holders only)

Application No. _____



Please read the instructions overleaf carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.
Please strike off unused section(s) to avoid unauthorised use

EXISTING UNIT HOLDER INFORMATION*										Folio No. _____		*Mandatory	
Name of the Unit Holder [Please shade (●)] _____										<input type="radio"/> Mr. <input type="radio"/> Ms. <input type="radio"/> M/s.		Permanent Account Number (PAN) _____	
_____										_____		_____	

1. CANCELLATION FORM FOR SYSTEMATIC TRANSACTIONS (SIP/ SWP/ STP) [Please shade (●)] (Refer Section 'A' of instructions)

Scheme/ Option/ Facility/ Frequency UNION KBC

Transaction Type SIP SWP STP Installment / Transfer Dates 2nd 8th 15th 23rd From Period _____

Amount in ₹ (Figures) _____ STP in to Scheme (in case of STP) _____

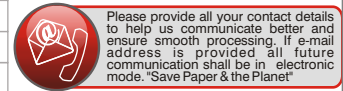
2. CANCELLATION FORM FOR DIVIDEND SWEEP PLAN (DSP) [Please shade (●)] (Refer Section 'A' of instructions)

Scheme/ Option/ Facility/ Frequency (From where dividend is transferred) UNION KBC

DSP in to Scheme _____ From Period _____

3. NEW CONTACT DETAILS (Refer Section 'B' of instructions)

Tel. (Off.)	Country/ Area code	_____	_____	_____	_____	Mobile	Country/ Area code	_____	_____	_____	_____
Tel. (Res.)	Country/ Area code	_____	_____	_____	_____	Fax	Country/ Area code	_____	_____	_____	_____
E-mail 1	_____										
E-mail 2	_____										
E-mail 3	_____										



4. CHANGE IN MODE OF HOLDING (ALL UNIT HOLDERS NEED TO SIGN IRRESPECTIVE OF MODE OF HOLDING) [Please shade (●)] (Refer Section 'C' of instructions)

New Mode of Holding Anyone or Survivor Joint Holding

5. PAN AND KYC UPDATION [Please shade (●)] (Refer Section 'D' of instructions)

Sole/ First Applicant	_____	<input type="radio"/> PAN/ KYC proof attached
Second Applicant	_____	<input type="radio"/> PAN/ KYC proof attached
Third Applicant	_____	<input type="radio"/> PAN/ KYC proof attached
Guardian/ PoA Holder	_____	<input type="radio"/> PAN/ KYC proof attached

6. POA (POWER OF ATTORNEY) REGISTRATION DETAILS [Please shade (●)] (Refer Section 'E' of instructions)

Name of the PoA holder _____

PAN of the PoA holder _____ KYC Letter (Mandatory) Notarized copy of PoA

7. CONSOLIDATION OF FOLIOS (Refer Section 'F' of instructions)

I / We wish to consolidate all my/our below mentioned folio numbers into one folio number. Folios to be consolidated:

1. _____ 2. _____ 3. _____ 4. _____

5. _____ 6. _____

Target folio number for consolidation: _____ (Mention the target folio, wherein all folios needs to be consolidated - any one of the existing folio numbers)

8. CHANGE OF ADDRESS - For Micro SIP and Sikkim Investors only (Refer Section 'G' of instructions)

Change of Address for KYC Compliance Folios need to be carried with CVL and not directly with the Fund / Registrar.

City _____ State _____ Pin Code _____

9. DECLARATION & SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information, Scheme Information Document and Key Information Memorandum of the respective Scheme(s) and agree to abide by the same including any addendum(s) thereto and any terms, conditions, rules and regulations of the scheme(s) applicable from time to time. I/We will not hold Union KBC Asset Management Company Private Limited, Union KBC Mutual Fund and its Registrar liable for any loss due to delayed execution or rejection of the request for reason of incomplete / incorrect information.

Signature Sole / First Applicant / Guardian / POA / Authorised Signatory	Signature Second Applicant / POA / Authorised Signatory	Signature Third Applicant / POA / Authorised Signatory
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No. _____

Folio No. _____

Mr./ Ms. /M/s _____

- | | | |
|---|---|---|
| <input type="radio"/> Cancellation Form for Systematic Transactions (SIP / SWP / STP) | <input type="radio"/> Change in Mode of Holding | <input type="radio"/> New Contact Details |
| <input type="radio"/> Cancellation Form for Dividend Sweep Plan (DSP) | <input type="radio"/> PAN and KYC updation | <input type="radio"/> Change of Address |
| <input type="radio"/> PoA (Power of Attorney) Registration Details | <input type="radio"/> Consolidation of Folios | |



Collection centre's stamp with date and time of receipt

INSTRUCTIONS

- A. CANCELLATION FOR SIP/ SWP/ STP/ DSP:** Unitholders are free to discontinue from the SIP facility at any point of time by giving necessary instructions in writing atleast 15 days prior to the next SIP due date. The SWP/STP/DSP will be terminated on a written notice of 8 days by the unitholder.
- B. CONTACT DETAILS:** Applicants should provide contact information such as email address, mobile number and other telephone numbers. Account statements, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address. Should they wish to have a hard copy; email request can be sent to investorcare@unionkbc.com. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- C. CHANGE IN MODE OF HOLDING:** Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/ operation will not be honoured by the fund. Further, all unit holders need to sign the request irrespective of the Mode of holding.
- D. KYC COMPLIANCE:** Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please refer to Statement of Additional Information (SAI) or log on to www.unionkbc.com/ www.cvlindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
- E. POWER OF ATTORNEY:**
- An applicant applying through power of attorney holder must lodge an original or a copy of the Power Of Attorney (POA) duly attested by a notary public at any of the Official Points of Acceptance.
 - The Power of Attorney Document must contain the signatures of both the applicant and the constituted Attorney.
 - Documents otherwise required to be submitted under normal circumstances by an Investor should be submitted by both the Investor and the POA holder in case of applications made under a POA.
- F. CONSOLIDATION OF FOLIOS:** Consolidation of various folios can take place only if the name of the unit holder/s and order of unit holders (in case of more than one unit holder), nominees and the mode of holding match.
- G. CHANGE OF ADDRESS:** Change of address request for folios that are CVL KYC compliant will not be registered by the fund and investor should get the address updated with CVL directly. For details, please visit www.cvlindia.com. Micro SIP and Sikkim investors should provide proof of new address along with the form. Proof should be self attested by the investor / ARN holder mentioning the ARN number or attested by any competent authority.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the scheme
Computer Age Management Services Pvt. Ltd.,
Unit: Union KBC Mutual Fund
148, Old Mahabalipuram Road, Okkiyam Thuraipakkam, Chennai - 600097.
Toll Free : 1800 200 2267
Email: enq_uk@camsonline.com | **Website:** www.camsonline.com



Union KBC Asset Management Company Pvt. Ltd.
7th Floor, Piramal Tower, Peninsula Corporate Park, Ganpatrao Kadam Marg,
Lower Parel (W), Mumbai - 400013.
Tel No. : 022 24833333
Website: www.unionkbc.com | **Email :** investorcare@unionkbc.com