

SYSTEMATIC INVESTMENT PLAN (SIP) Registration Cum Mandate Form for ECS / Direct Debit Facility



DISTRIBUTOR INFORMATION	
Name & Broker Code/ARN	Sub-Agent/Broker Code
SPRISM/ARN-0238	

Serial No: SIP

Upfront commission, if any will be paid by me/us to the AMFI registered and empanelled (with Sahara Mutual Fund) ARN Holder, directly.

(Existing Investors Folio No)

INVESTOR AND SIP DETAILS

Application No.

Sole/First Investor Name (Mr./Ms.)

Date of Birth

Second Applicant's Name (Mr./Ms.)

Date of Birth

Third Applicant's Name (Mr./Ms.)

Date of Birth

I/We hereby give my/our consent to receive all communication such as Account Statement, Transaction update, Half yearly portfolio, Annual Report and any other related data/information by Email.

PI (✓) Email-ID

DETAILS OF PHOTO IDENTIFICATION DOCUMENT (Please refer to instruction no. 20 of Terms & Conditions)

Applicant	Type / Nature of Photo ID	Issuing Authority	ID No.
Sole / 1st Applicant			
Second Applicant			
Third Applicant			

INVESTMENT AND PAYMENT DETAILS (Refer to KIM for instruction) (REFER TABLE "SCHEME NAME")

SIP Date (✓) 5th / 15th / 25th

Scheme Name	Plan / Option	Sub Option

SIP Amount (in Rs.)	Enrolment Period	Start Month	End Month	Frequency Please (✓)
		<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
First SIP transaction via Cheque No.		Cheque Dated		Amount (in Rs.)
<input type="text"/>		<input type="text"/>		<input type="text"/>

I/We hereby authorise Sahara Mutual Fund/ Sahara Asset Management Company Private Limited and their authorised service provider to debit my/ our following bank account by ECS (Debit Clearing /) Direct Debit for collection of SIP payment.

PARTICULARS OF BANK ACCOUNT

Bank Account No. Account Type : Savings Current NRE NRO FCNR

Account Holders Name as in Bank account

MICR Code (9 digit) (Please enclose copy of cancelled cheque) [Mandatory] IFSC Code (11 digit for RTGS & NEFT)

Bank Name

Bank City

I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS/Direct debit. If the transaction is delayed or not effected at all, for reasons of incomplete or incorrect information on my/our part, I/we would not hold the user institution responsible. I/We also inform Sahara Mutual Fund / Sahara Asset Management Company Private Limited about any changes in the bank account. I/We have read and understood conditions mentioned overleaf, and agree to the Terms and Conditions mentioned in the Scheme Information Document (s).

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Date / /

1st applicant/ Guardian Signature (As in Bank Records)	<input type="text"/>
2nd applicant / Signature (As in Bank Records)	<input type="text"/>
3rd applicant / Signature (As in Bank Records)	<input type="text"/>

BANKERS ATTESTATION

Certified that the Signature of Account Holder and details of the bank account are correct as per details. We accept the Mandate.

Verification request to be retained by the customer bank

Signature of authorised official of bank (Bank's stamp and date)

The Branch Manager,

Bank

Branch

Sub: Mandate Verification for account no.

This is to inform you that I/We have registered with Sahara Mutual Fund through their authorised Service provider for the RBI's Electronic Clearing Service (Debit Clearing)/Auto Debit facility and that my payment towards my investment in Sahara Mutual Fund shall be made from my / our above mentioned bank account with your bank. I/We authorise the representative carrying this ECS/Auto Debit account mandate form to get it verified & executed. Please debit my/our account for verification charges, if any. Thanking you,

1st applicant/ Guardian (Signature)	<input type="text"/>	2nd applicant (Signature)	<input type="text"/>	3rd applicant (Signature)	<input type="text"/>
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ACKNOWLEDGEMENT SLIP (to be filled in by the investor)

Received from Mr./Ms./M/s.....

Address.....

SIP / Auto Debit Application under (Scheme)

along with first SIP cheque no. dated.....drawn on (Bank / Branch)

..... for Rs.

Serial No: SIP

Seal, Signature & Date

PLEASE TURNOVER

Terms and Conditions

A. SIP payment through Electronic Service (Debit Clearing) of the Reserve Bank of India (RBI). List of cities for SIP Auto Debit facility - through ECS (Debit clearing)

Agra, Ahmedabad, Allahabad, Amritsar, Asansol, Aurangabad, Bangalore, Bardhaman, Baroda (Vadodara), Belgaum, Bhilwara, Bhopal, Bhubaneswar, Bijapur, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Davangere, Dehradun, Delhi, Dhanbad, Durgapur, Erode, Gadag, Goa, Gorakhpur, Guwahati, Gwalior, Haldia, Hubli, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolhapur, Kolkata, Lucknow, Ludhiana, Madurai, Mandya, Mangalore, Mumbai, Mysore, Nagpur, Nasik, Nellore, Patna, Pondicherry, Pune, Raipur, Rajkot, Ranchi, Salem, Shimla, Shimoga, Sholapur, Siliguri, Surat, Thirupur, Tirupati, Trichur, Trichy, Trivandrum, Tumkur, Udaipur, Udipi, Varanasi, Vijaywada, Vizag.

B. SIP payment through Direct Debit facility is available with: (As per arrangement made by the AMC from time to time)

- i) HDFC Bank Ltd / AXIS Bank Ltd / IDBI Bank Ltd / IndusInd Bank Ltd / Kotak Mahindra Bank - All Branches.
- ii) Bank of India and Punjab National Bank - Select Branches (*)

* for list of branches, check website www.saharamutual.com or contact our Investor Service Centres (ISCs).

1. This facility is offered only to the investors having bank accounts in selected cities/Selected banks/Branches mentioned above.
2. Please submit the following documents at least 30 working days before the 1st SIP date for ECS (Debit Clearing)

New Investors	Existing Investors
<ul style="list-style-type: none"> • Application form for the respective scheme(s) • 1st SIP Cheque * • SIP ECS/Auto Debit Facility Form 	<ul style="list-style-type: none"> • 1st SIP Cheque * • SIP ECS/Auto Debit Facility Form

* The 1st SIP Cheque should be issued from the same bank account which is to be debited under ECS for subsequent installments. i.e. the 1st cheque should be drawn on the same bank account which is to be registered for ECS (Debit)

In case the 1st cheque is issued from an account which is different from ECS debit account, then a specimen cancelled cheque from ECS Debit account (as mentioned in the application form) should be submitted along with other requirements. [Other Terms and Conditions or as stated in the SIP registration cum mandate form.]

3. The cheques should be drawn in favor of the scheme/plan chosen e.g. **"Sahara Growth Fund"** and crossed **"account payee only"** and payable locally and drawn on any bank, which is situated at and is a member of bankers clearing house located at the place where the SIP application is submitted. Outstation cheque will not be accepted.
4. Please write the SIP Form Number/the first applicant's name on the reverse of the cheque accompanied by the SIP form
5. Return/ dishonored cheque will not be presented again for collection
6. The bank account provided for ECS (Debit) should participate in local MICR Clearing.
7. The names of cities in the list may be modified/ updated/ changed/removed at any time in future entirely at the discretion of Sahara Mutual Fund/Sahara Asset Management Private Limited without assessing any reasons or prior notice. If any name of city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice. In such a case, the AMC at its sole discretion may accept post dated cheque (PDC's) from the investors for the balance period.
8. MICR code starting and /or ending with 000 are not valid for ECS.
9. SIP Auto Debit facility is available only on specific dates of the month 5th, 15th or 25th.
10. The investor agrees to abide by the terms and conditions of ECS facilities of Reserve Bank of India (RBI).
11. Investor will not hold Sahara Mutual Fund/Sahara Asset Management Private Limited, its Registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/local holidays
12. Sahara Mutual Fund / Sahara Asset Management Private Limited, its Registrars and other service providers shall not be responsible nor liable for any damages/ compensation for any loss, damages etc. incurred by the investor. The investor assumes the entire risk of using these facilities and takes full responsibility.
13. Sahara Mutual Fund/Sahara Asset Management Private Limited reserves the right to reject any application without assigning any reason thereof.
14. Please read the Key Information Memorandum and Scheme Information Document (SID) of respective scheme(s) for applicable NAV, risk factors, load, minimum SIP amount and other information.
15. Investor can choose to change his/her/their bank account or discontinue this facility by giving 30 days written notice to any of our Investor Service Centers.
16. Allotment of units would be subject to realization of credit.
17. An investor can opt for monthly or quarterly frequency.
18. Only one SIP per month or per quarter is permitted per folio/ account.
19. The applicable NAV for the 1st installment (in respect of the 1st cheque) will be as per the date and time at which the same has been received at ISC. If the date of the subsequent SIP cheque/ installment is a non transaction day for the scheme, then the units shall be allotted on the next / following transaction day.
20. **The Systematic Investment Plans (SIPs) by an Investor where the aggregate of installment values does not exceed Rs.50,000/- per year (in a rolling year) shall be exempted from PAN requirement. However in lieu of PAN, Investor has to submit any one photo identification document along with the application as follows:**
 - (a) Voter Identity Card, (b) Driving License, (c) Government / Defense identification card, (d) Passport, (e) Photo Ration Card, (f) Photo Debit Card (Credit card not included because it may not be backed up by a bank account), (g) Employee ID cards issued by companies registered with Registrar of Companies (database available in the website of Ministry of Company affairs (<http://www.mca.gov.in>)), (h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (j) Senior Citizen / Freedom Fighter ID card issued by Government, (k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (l) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), (m) Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.
21. **In line with SEBI guidelines, it is Mandatory for all applicants to submit the copy of the PAN card. KYC is mandatory for investments of Rs. 50000/- and above for all applicants.**

Registrar & Transfer Agent:
KARVY Computershare Private Limited
 (Unit: Sahara Mutual Fund)
 21, Avenue 4, Street No.1,
 Banjara Hills, Hyderabad-500 034.
 Ph : 040 - 23312454, Email : service_smf@karvy.com



Sahara Mutual Fund
 97-98, 9th Floor, Atlanta,
 Nariman Point, Mumbai - 400 021.
 Ph : 022 - 67520121-27
 E-mail: saharamutual@saharamutual.com
 Website: www.saharamutual.com

Note: All future communication in connection with the application should be addressed to the Registrar at the address given in this form, quoting full name of sole/first applicant, the application serial number, the name of the scheme/option, amount invested, date and the place of the AMC/ Collection Centre where the application was lodged/submitted.