



LIC NOMURA MUTUAL FUND

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(Please use separate Enrolment Form for each Scheme. A Photocopy of this form is valid)

Systematic Investment Plan through ECS/DIRECT DEBIT

Normal SIP Micro SIP

Name of the Authorised Centre: AGENT/BROKER SUB-BROKER CODE (if any) RM CODE FOR OFFICE USE ONLY
ARN No. NAME Tel. No. Ganesh S. Shanbhag | ARN - 0988
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

New Investors* Existing Investor (Please tick as applicable)
I/We hereby apply to the LIC NOMURA MUTUAL FUND TRUSTEE CO. PVT. LTD. for a Systematic Investment Plan (SIP) through ECS / Direct Debit under the following Scheme and agree to abide by the terms, conditions, rules and regulation of the scheme(s) mentioned overleaf as on the date of this investment.

Name of Sole /First Account Holder : Mr./Mrs./M/s
Folio/ Account Number (For existing investor)
(* New investors are required to complete and submit a Common Application Form also)
Name: 2nd Holder 3rd Holder
SIP Details: Scheme Plan Option
For MICRO SIP Cases (Refer Instruction No. 19 overleaf)
DOB 1st Holder 2nd Holder
Supporting Document 1st Holder 2nd Holder
Reference Number(if any) 1st Holder 2nd Holder
Frequency Monthly Quarterly(Please tick as applicable) SIP Date 1st 15th 25th
SIP Amount Rs. (per installment)

SIP Period from DD MM YYYY to DD MM YYYY (For minimum period and SIP amount, please refer point No. 17 overleaf)

I/We authorise LIC NOMURA Mutual Fund Trustee Co. Pvt. Ltd. or their authorised service providers to Debit my/ our account listed below by ECS (Electronic Clearing Services) for collection of SIP Payments and confirm that the Funds invested belongs to me/us. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment

Account Holder name as in Bank Account
Bank Name
Branch Name
Address
City
Account Number
9 Digit MICR Code
Mandatory Enclosures :
Cancelled Cheque or photocopy of Cheque, duly signed by the applicant/s
First SIP via Cheque
Cheque NO. Date Amount (₹)
Account Type Saving Current CC (Please tick as applicable)

I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete information, I/We will not hold LIC NOMURA Mutual Fund Trustee Co. Pvt. Ltd. responsible. I/We further undertake that any changes in my/our Bank details will be informed to the fund immediately. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We have read & understood the contents of the Scheme Information Document of the scheme wherein Systematic Investment Plan is obtained.
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
I/We declare that I/We don't have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. (Applicable for Micro SIP)

Signature
Sole/First Applicant/Guardian
2nd Applicant
3rd Applicant
Minor Name Minor's DOB
**Banker's Attestation:
Certified that the Signature of account holder and the details of Bank account are correct as per records.
Signature of Authorised Official from the Bank(Bank Stamp and Date)

Authorisation of the Bank Account holder
This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my/our payments towards my/our investment in LIC NOMURA Mutual Fund Trustee Co. Pvt. Ltd. shall be made from my/our below mentioned Bank Account with your bank. I/We authorise the representative carrying the ECS Mandate Form to get it verified & executed.

Signature Bank Account Number
Sole/First Applicant/Guardian
2nd Applicant 3rd Applicant

Acknowledgement Slip for SIP through ECS/DIRECT DEBIT (To be filled in by investor)
Investor's Name
Folio/ Account Number
Scheme
SIP Amount (₹) Frequency: Monthly Quarterly (please tick as applicable)
LIC NOMURA Mutual Fund Trustee CO. Pvt. Ltd./ Authorised Centre Signature & Stamp

TERMS AND CONDITIONS (SIP ECS/DIRECT DEBT)

SIP Payment through Electronic Clearing Services/Direct Debit

1. The facility of SIP payment through Electronic Clearing Service (ECS) Debit of the Reserve Bank of India is available only to the investors having bank account in selected cities only. For details of selected cities, please refer to our Area Office / Business Center / Karvy Centers. The facility of Direct Debit is available only with the banks with which LIC NOMURA MF AMC Ltd. has tie up for Direct Debit. For details of banks where Direct Debit is available please refer to our Area Offices / Business Centers / Karvy Centers. The list of cities for ECS Debit and list of Banks for Direct Debit may be modified / updated / changed / removed at any time in future entirely at the discretion of LIC NOMURA Mutual Fund without assigning any reasons or prior notice. SIP / Micro SIP in such cities via ECS (Debit) route will be discontinued without prior notice
2. New investors who wish to enroll for SIP through ECS should also fill up the Common Application Form in addition to this form.
3. Investors must provide a cancelled Cheque or copy thereof, duly signed by the applicant/s and the first investment must be by means of Cheque from the account where ECS Debit is to be effected. Bankers attestation is mandatory if no Cheque is provided or for Payable At Par Cheques
4. Existing investors must provide their Account Number and need not fill up a Common Application Form.
5. For further details of the Scheme features like minimum amount, risk factors etc., investors should, before investment, refer to the Scheme Information Documents, Key Information Memorandum and Addenda issued till date, available free of cost at any the Area Offices/Business Centers or Distributors or from website www.liconomuramf.com
6. The SIP through ECS Form, and the Common Application Form (in case of new investors), along with the necessary Cheque or copy thereof should be submitted at least 15 days in advance of the date of the first ECS Debit Transaction.
7. The bank branch provided for ECS should participate in the local MICR clearing. The investor shall inform his/her Banker about the ECS mandate and LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited will not be liable for any transaction failures due to rejection by the investor's bank/branch.
8. SIP through ECS is presently available on 1st/15th/ 25th of the Month. If any other date is mentioned, the nearest date will be automatically fixed. In case these days are non business days for the scheme, then SIP will be processed for the next business day's NAV related price
9. The Investor agrees to abide by the terms and conditions of ECS facility of Reserves Bank of India (RBI)
10. Investor will not hold LIC Nomura Mutual Fund Trustee Co. Pvt. Limited and its service providers responsible if a transaction is delayed or not effected by the investor's Bank or if debited in advance or after the specified SIP date due to various reasons.
11. LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited reserves the right to reverse the allotments in case a ECS debit is rejected by the Bank for any reason whatsoever.
12. LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the ESC facility and takes full responsibility for the same.
13. The AMC/LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited reserves the right to discontinue or modify the Terms & Conditions of SIP facility at any time in future on a prospective basis.
14. LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited reserves the right to discontinue the SIP in case of suspension of direct debit through ECS or in case a direct debit through ECS is rejected by the investor's bank for any reason. For load structure refer to our area offices/business centers at the addresses available on our website www.liconomuramf.com
15. LIC NOMURA Mutual Fund Trustee Co. Pvt. Limited reserves the right to reject any application without assigning any reason therefor.
16. **PERMANENT ACCOUNT NUMBER:** SEBI has made it mandatory for all applicants including joint holders and guardian to mention his/her Permanent Account Number (PAN) irrespective of the amount of purchase (fresh, additional, SIP). In order to verify that the PAN of the applicants (in case of applications in joint names, guardian in case minor, each of the applicants) has been duly and correctly quoted therein, the applicants shall attach a photocopy of the PAN card duly attested by the distributor / agent (AMFI registered) through whom the application is effected or by Bank Manager or Notary or Investors Service Centers of LIC Nomura Mutual Fund or ISCs of Karvy. Attestation will be done after verification with the original PAN Card. Applications not accompanied with the above requirements are liable to be rejected.
17. Minimum Investments:#

SIP Mode	Amount	Min. No. of Installment required	Min. Initial Subscription Amount
Monthly	From ₹ 100/-* / ₹ 500/- upto ₹ 1000/-	11	₹ 100/-* / ₹ 500/-
	> ₹ 1000/-	5	₹ 1000/-
Quarterly	Minimum ₹ 1000/-	3	As per Scheme Information Document & subsequent Addendum

are subject to changes at short notice. For details contact of any of our Area Offices, Business Centers or Karvy Centers.

* Minimum amount is Rs. 100/- in respect of equity schemes only.

18. **KNOW YOUR CUSTOMER (KYC) COMPLIANCE:** In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under the guidelines/circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate and implement a client identifications programme, verify and maintain the records of identity and address(es) of investors. In order to make the data capture and document submission easy and convenient for the investors, Mutual Fund Industry has collectively entrusted the responsibility of collection of documents relating to identity and address of the investors(s) to an independent agency (presently CDSL Venture Limited) which will act as central record keeping agency ("Central Agency"). As a token of having verified the identity and address of the investor(s) and for efficient retrieval of records, the Central Agency will issue a KYC Acknowledgement Letter to each investor who submits an applications and the prescribed documents to the Central Agency. Investors should note that it is mandatory for all categories of investors irrespective of any amount to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscriptions and attach proof of KYC Compliance viz. KYC Acknowledgements Letter. Applicants intending to apply for units through a Power of Attorney (POA) must ensure that the issuer of the POA and the holder must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment. The KYC status will be validated with the records of the Central Agency before allotting units. LIC Nomura Mutual Fund will not be held responsible and/or liable for rejection of KYC Form, if any, by the Central Agency. Applications for subscriptions without a valid KYC compliance may be rejected. To comply with the KYC norms, documents and information to be provided by the investors are (i) Proof of Identity (ii) Proof of Address (iii) PAN Card (iv) Photograph. For further details contact any of our Area Offices/Business Centers
19. As regards MICRO SIP, investors are requested to go through as mentioned below:
 - 1) In compliance with SEBI Letter No. MRD/DoP/PAN/PM/166999/2009, dated June 19, 2009 issued to AMFI and subsequent guidelines issued by AMFI in this regard, effective from 01/08/2009, SIPs upto 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 months period or in a financial year (to be referred as 'Micro SIP') shall be exempt from the requirement of PAN as a proof of identification.
 - 2) This exemption will be applicable ONLY to investments by individuals including NRIs but not PIOs, Minors and Sole Proprietary Firms. HUFs and other categories will not be eligible for MICRO SIPs.
 - 3) Investor (including joint holders) will submit a photocopy of any one of 1) Voter Identity Card / Driving License / Government / Defence Identification Card / Passport / Photo Ratio Card / Photo Debit Card / Employee ID cards issued by Companies registered with Registrar of Companies / Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament / ID Card issued to employees of Scheduled Commercial / State / District Co-operative Banks / Senior Citizen / Freedom Fighter ID Card issued by Government / Cards issued by Universities / Deemed Universities or Institutes under statutes like ICAI, ICWA, ICSI / Permanent Retirement Account No. (PRAN) Card issued to New Pension System (NPS) subscribers by CRA (NSDL) / Any other photo ID card issued by Central Government / State Governments / Municipal Authorities / Government Organizations like ESIC / EPFO, for availing the MICRO SIP facility as a supporting document and same must be current and valid and shall be self attested by the investor / attested by the ARN holder mentioning the ARN Number.
 - 4) Investor has to give a declaration that he does not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs.50,000/- in a year. For details contact our Area Offices / Business Centers / Karvy
20. In case SIP investments are made through 'Third Party Payments' i.e. payment made through an instrument issued from a bank account other than that of the first named applicant/ investor mentioned in the application form, Investors are required to fill up & submit the 'Third Party Payment Declaration Form' available at any of our ISCs or website www.liconomuramf.com along with the SIP Form. In case the name is not pre-printed on the first SIP cheque or signature on the first SIP cheque does not match, then the first named applicant/investor should submit any one of the documents viz. A copy of the bank passbook or a statement of bank account or a letter from the bank on its letterhead certifying the details of the investor. For further details, please refer to the Instructions in the Key Information Memorandum and section 'How to Apply' under Statement of Additional Information available on our website www.liconomuramf.com.
21. Investor may discontinue the SIP /Micro Facility by giving a one month notice to the registrar to the scheme viz. M/s Karvy Computershare Pvt. Ltd.
22. Please note that there should be a minimum of 21 business days gap between the SIP application date and next installment date.

For any queries, please contact our officers on the following numbers

AREA OFFICES: ● AHMEDABAD: 9375090006 / 9924403147 / 9328638838 / 9998559480 ● BANGALORE: 9845172957 / 9972092957 / 99866500721 / 9880058223 ● BHUBANESHWAR: 9438132162 ● CHENNAI: 9382315850 / 9940286305 / 9500038285 / 8754479990 / 9500038285 ● DEHRADUN: 941203057 / 9410702598 / 9208402495 / 9897056231 ● ERNAKULAM: 9895036554 / 9745612888 / 9446710555 / 9388755722 / 9447742733 ● GOA: 8055538358 / 9890711551 / 9370643076 ● GURGAON: 9811464244 / 9654422820 / 955542768 ● GUWAHATI: 9435040478 / 9435070961 / 9435105186 ● HUBLI: 9035484001 / 9845205168 ● HYDERABAD: 932471583 / 900844850 / 9005050850 ● INDORE: 9589050250 / 9425870126 / 9981511435 ● JAIPUR: 9460873120 / 982908323 / 9929095005 ● KANPUR: 9451448305 / 9984006600 / 9383038440 / 9889085736 ● KOLKATA: 9432128113 / 9830689965 / 9432391810 / 9051068127 / 9474424374 / 9339531895 / 9330662779 ● LUCKNOW: 9451060134 / 9453016072 / 9415482270 / 9559431860 ● LUDHIANA: 9814703558 / 9915099333 ● MADURAI: 9442109039 / 9840626085 ● MANGALORE: 9845190466 / 9890950466 / 9845758226 ● MUMBAI - I: 9324543832 / 9320762764 / 9769028905 / 9820137844 / 9930957772 / 9892550455 / 9820469996 ● MUMBAI - II: 9820002994 / 9702882224 / 9371617717 / 9595977222 / 9930476555 ● NAGPUR: 9422113800 / 9975724030 / 942228151 ● NASHIK: 9922996155 / 9823366379 / 9650575700 ● NEW DELHI: 9716481681 / 9818610867 / 9818630124 / 9818191263 / 9871814850 / 9993940088 / 9971672830 ● PATNA: 9431447848 / 9431023274 / 9472082999 ● PUNE: 9822474487 / 9325523480 / 9767868611 ● RAIPUR: 9329731077 / 9329100009 / 9407646661 ● RANCHI: 9470524099 / 9835197881 / 9835708083

BUSINESS CENTRES:

● AGRA: 9927888654 ● AHMEDNAGAR: 9422238925 ● AJMER: 9829077674 ● ALAPUZA: 9447367160 ● ALLAHABAD: 9918971258 ● AMRAVATI: 9372941955 ● AMRITSAR: 9814299747 ● AURANGABAD: 9923797207 ● BANKURA: 9378330300 ● BEHRAMPUR: 9861546880 ● BELGAUM: 9916140315 ● BELLARY: 9918013299 ● BILASPUR: 9302606248 ● BHAGALPUR: 9431809765 ● BHILAI: 9303808855 ● BHILWARA: 9829287273 ● BHOPAL: 9302441980 ● BHUJ: 9377713665 ● BIKANER: 9829781130 ● BOKARO: 995189443 ● BUDWANI: 9831712244 ● CALCUTTA: 9349739890 ● CHANDIGARH: 9316056881 ● CHANDRAPUR: 9372941955 ● COIMBATORE: 9500998949 / 9324323273 ● DALTONGANJ: 9162780200 ● DAVANAGERE: 9900026952 ● DEOGHAR: 9430155378 ● DHANBAD: 9835344495 ● GAYA: 9470854005 ● GORAKHPUR: 933883449 ● GULBARGA: 9907144195 ● GWALIOR: 9405585454 ● HALDWANI: 9839978747 ● HASSAN: 9866125091 ● JABALPUR: 9407606313 ● JAGDALPUR: 9303544552 ● JALGAON: 9422211343 ● JAMMU: 9419213201 ● JAMSHEDPUR: 9234620669 ● JALPAIGURI: 980577965 ● JHANSI: 9790018559 ● JODHPUR: 9829647974 ● KARIMNAGAR: 9000444833 ● KARWAR: 9845190466 ● KHARAGPUR: 9832010008 ● KOLHAPUR: 9822851948 ● KOTA: 9829905879 ● KOTTAYAM: 9656010033 ● MADGAON: 9673882722 ● MADIKERI: 9901586881 ● MALDA: 9838237434 ● MANDI: 9817232224 ● MEERUT: 9319267811 ● MEHSANA: 9979533536 ● MORADABAD: 9454993170 ● MYSORE: 9868117183 ● MUZAFFARPUR: 9431813155 ● NANDED: 9822035903 ● NELLORE: 9000448454 ● NODA: 9891736038 ● PANIPAT: 9990060623 ● PONDICHERRY: 7708007363 ● RAICHUR: 7829913688 ● RAJAHMUNDRY: 9000171857 ● RAJKOT: 9898733233 ● SAHARANPUR: 9894550520 ● SALEM: 9944744224 ● SAMBALPUR: 9937040031 ● SATARA: 9423190229 ● SATNA: 9300757593 ● SHANDOL: 9303223916 ● SHILLONG: 9435787938 ● SHIMLA: 9318980005 ● SHIMOGA: 992207698 ● SURAT: 9978862626 / 9925227406 ● SILIGURI: 988308767 ● THANE: 9320189898 ● THRISSUR: 9386110220 ● TRINELVELI: 9655505105 ● TRICHY: 9842524130 ● TRIVANDRUM: 9388088086 ● UDAPUR: 9784011432 ● UDUPI: 961111022 ● VADODARA: 9327494916 ● VAPI: 9377566852 ● VARANASI: 9335601004 ● VISHAKHAPATNAM: 9000444851 ● VIJAYAWADA: 9000444852 ● WARANGAL: 9000711658