

Investment Advisor's Name & ARN	Sub-Broker's Name & ARN Sub-Broker \ LG Code	Official Acceptance Point	Bank Sr. No.
SPRISM/ARN-0238		LG Stamp & Sign	

Appl. CA

Date : DD / MM / YYYY

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

**A. EXISTING UNITHOLDER INFORMATION** [Refer Guideline 2(a)]

If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Folio Number and PAN details below and proceed to Section 4.

Name of Sole / First Holder:  Folio No.:  /

**B. NEW APPLICANT'S PERSONAL INFORMATION** [Refer Guideline 2]

**SOLE/FIRST APPLICANT**

Date of Birth\*\*

GUARDIAN (in case Sole / First Applicant is a minor)  Relationship

CONTACT PERSON (in case of Non-individual applicants)  Designation

**SECOND APPLICANT (Joint Holder 1)**

GUARDIAN (in case Second Applicant is a minor)

**THIRD APPLICANT (Joint Holder 2)**

GUARDIAN (in case Third Applicant is a minor)

**MODE OF OPERATION (where there is more than one applicant)**

First Holder only  Anyone or Survivor  Joint

**PAN AND KYC COMPLIANT STATUS DETAILS (Mandatory)**

PAN Sole / First Applicant KYC Compliant Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	PAN Second Applicant KYC Compliant Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	PAN Third Applicant KYC Compliant Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No
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(#Please attach PAN Card Copy) / (\*KYC allotment letter copy is mandatory)

**Status (Please ✓)**

- Resident Individual
- NRI on Repatriation Basis
- NRI on Non-Repatriation Basis
- HUF
- Proprietorship
- Partnership Firm
- Private Limited Company
- Public Limited Company
- Mutual Fund
- Mutual Fund FOF Scheme
- Body Corporate
- Registered Society
- PF/Gratuity/Pension/ Superannuation Fund
- Trust AOP / BOI
- Foreign Institutional Investor
- On behalf of Minor
- Other \_\_\_\_\_ (Please specify)

**Occupation (Please ✓) (Mandatory)**

- Business
- Service
- Professional
- Retired
- Housewife
- Student
- Agricultur
- Other \_\_\_\_\_ (Please specify)

**C. THIRD PARTY PAYMENT DECLARATION**

Parent/Grand-Parent/Guardian of Minor/ Related Person Other than the Register Guardian/ Employer on behalf of Employee (SIP only)/Custodian on behalf of FII.

Name:  Relationship with Applicant:

PAN:  KYC Compliant Status:  Yes  No

Declaration: I hereby declare and confirm that the Applicant stated above is the beneficial owner of the investment details mentioned above. I am providing the funds for these investments on account of my natural love and affection or incentive to employee or for & on behalf of fil or as gift from my bank account only.

Declaration (Guardian of minor, as registered in the folio): I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor.

(Note: Aforeside signature should match with the investment cheque signature)

Signature

**ADDRESS FOR COMMUNICATION (Mandatory)**

City  Pin/Zip Code

State  Country

(Cell)  Tel.

(Fax)

E-mail

**D. DEMAT ACCOUNT DETAILS** [Refer Guideline 3]

In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and dividend options having dividend frequency of less than a month).

NSDL	CDSL
DP Name <input type="text"/>	DP Name <input type="text"/>
<input type="text"/>	<input type="text"/>
DP ID <input type="text"/>	DP ID <input type="text"/>
Beneficiary Account No. <input type="text"/>	Beneficiary Account No. <input type="text"/>

Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details of DP will overwrite the existing details.

Investment Details	Instrument Details	Amount
Scheme <input type="text"/>	No. _____ Dated DD / MM / YYYY Rs. _____	
Plan <input type="text"/>	Bank & Branch _____	
Option <input type="text"/>		



**E. BANK ACCOUNT DETAILS (Mandatory, this account details will be considered as default account for payout)**

[Refer Guideline 4]

Name of Bank \_\_\_\_\_  
 Branch \_\_\_\_\_ City \_\_\_\_\_  
 Account No. \_\_\_\_\_  
 RTGS IFSC Code \_\_\_\_\_  
 NEFT IFSC Code \_\_\_\_\_  
 MICR Code \_\_\_\_\_

This is the 9 digit No. next to your Cheque No.

Account Type :  Current  Savings  NRO  NRE  FCNR  Others

**DIRECT CREDIT**

We shall directly credit your dividend/redemption payments into your bank account if your Bank is a part of bank list with which we have a tie-up for direct credit facility.

If, however, you wish to receive a cheque payout, please tick the box alongside.

**Note:** Investor can register multiple bank account by submitting Bank registration form, please read the instruction given in the form.

**F. INVESTMENT DETAILS (Attach separate cheque for each Investment)**

[Refer Guideline 5]

Sl. No.	Scheme Name / Frequency	Plan / Option / Sub-option	Frequency	Amount Invested (Rs.)	Net Amount Paid (Rs.)	Payment Details	
						Cheque / DD No.	Bank and Branch
1		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Daily	Less: DD Charges			
2		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Daily	Less: DD Charges			
3		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Daily	Less: DD Charges			

P=Payout R=Reinvestment

If you are an NRI Investor, please indicate source of funds for your investment (Please ✓)

NRE  NRO  FCNR  Others

(Please specify) \_\_\_\_\_

**G. NOMINATION DETAILS (to be filled in by Individual(s) applying Singly or Jointly)**

[Refer Guideline 6]

I/We \_\_\_\_\_ and \_\_\_\_\_ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Account No./Application No. \_\_\_\_\_ in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustee.

**DETAILS OF NOMINEE**

Name of Nominee	Address	Date Of Birth	% Share	Signature Of Nominee

**DETAILS OF GUARDIAN (to be furnished in case Nominee is a minor)**

Name of Guardian	Address	Tel. No	Signature Of Guardian

I/We \_\_\_\_\_ do hereby confirm that I/We do not intend to avail the nomination facility for this investment application.

**H. E-MAIL COMMUNICATION**

[Refer Guideline 7]

I/We would like to receive all communication by E-mail including Account statement & transaction confirmation [Please ✓]

Your E-mail ID here \_\_\_\_\_

**I. DECLARATION AND SIGNATURES**

[Refer Guideline 8]

I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document of the respective scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section 5 above and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

**Applicable to NRIs seeking repatriation of redemption proceeds:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

SIGNATURE(S)

Sole / First Applicant	Second Applicant	Third Applicant

(To be signed by **All Applicants**)

Please tick if the investment is operated as POA / Guardian  POA  Guardian

PAN of POA Holder / Guardian

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We are at your service on 1800-222-626 from 9.30 a.m. to 6.00 p.m. (Monday to Friday)