

New investors subscribing to the scheme through Auto Debit facility are requested to complete this Form compulsorily alongwith Common Application Form. Application should be submitted at least 30 days before the 1st Debit Clearing date.

Application No. SIP

ADVISOR INFORMATION		For office use only	
Advisor's Name & Code	Sub-Advisor's Name & Code	Sales Officer Code	Date & Time of receipt
ARN- 0988   Ganesh S. Shanbhag			
Contact No. :			

Upfront commission shall be paid directly by the investor to the AMFI registered distributor/advisor based on the investor's assessment of various factors including the service rendered by the distributor/advisor.

Please ✓ any one only  New Registration  Change in Bank Account  SIP Registration-by New Investor  Cancellation  
 SIP Registration-by Existing Investor  Micro SIP Registration-by New Investor  Micro SIP Registration-by Existing Investor

#### APPLICANT'S INFORMATION (MANDATORY)

Folio Number (for existing investor)		Common Application Form No. (for new investor)	
Name of the First Applicant	Mr./Ms./M/s/	Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
PAN (Mandatory)		Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
Name of the Second Applicant	Mr./Ms./M/s/	Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
PAN (Mandatory)		Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
Name of the Third Applicant	Mr./Ms./M/s/	Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
PAN (Mandatory)		Enclosed (Please ✓) <input type="radio"/> KYC Compliance Proof (Mandatory)	
Micro SIP (PAN is not Mandatory in case of Micro SIP) (Please refer to the Terms and Conditions overleaf)			
Date of Birth	DD / MM / YYYY	Type of supporting document	Identification number details

#### SIP / MICRO SIP INVESTMENT DETAILS

Scheme Name	DAIWA	Plan		Option		Sub-Option	
Amount: Rs. in figures		Rs. in words		Minimum installment amount through SIP/Micro SIP is Rs. 500/-			
Payment Mechanism (Please ✓) <input type="radio"/> Auto Debit Facility (Please complete the SIP / Micro SIP Auto Debit Facility Form below) <input type="radio"/> Cheque (Please provide the details below)							
Total No. of Cheques		Cheque No. From		To		Period of enrolment (MM / YYYY) (Mandatory)	
Drawn on Bank		Branch		A/c. No.		From	MM / YYYY
Frequency (Please ✓) <input type="radio"/> Monthly <input type="radio"/> Quarterly		SIP / Micro SIP Date (Please ✓) <input type="radio"/> 1st <input type="radio"/> 7th <input type="radio"/> 14th <input type="radio"/> 21st <input type="radio"/> All Dates		No. of months / quarters		To	MM / YYYY

#### SIP / MICRO SIP AUTO DEBIT (ECS) FACILITY FORM - Registration cum Mandate Form for ECS (Debit Clearing)

First SIP / Micro SIP Instalment via Cheque drawn on bank details provided below

#### ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY) (Cheque should be drawn on bank, details provided below)

I / We hereby authorize Daiwa Asset Management (India) Pvt. Ltd., Investment Manager to Daiwa Mutual Fund, acting through their authorized service providers to debit my / our following bank account by ECS (Debit Clearing) for collection of SIP / Micro SIP payments.

Name of the Account Holder as in Bank Records	Mr./Ms./M/s/	A/c. No.		Account Type (✓) <input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> Cash Credit <input type="radio"/> Others	
Bank Name		Branch Address		City	PIN
MICR Code* (Mandatory)		RTGS IFSC Code^ (Mandatory)		NEFT IFSC Code^ (Mandatory)	

(\* 9 digit number next to your Cheque No.) (Mandatory) ^ (11 digit code printed on your cheque)

#### DECLARATION AND SIGNATURE(S)

To, The Trustee of Daiwa Mutual Fund,

I/We have read and understood the contents of the Scheme Information Document and the Statement of Additional Information of Daiwa Mutual Fund, including the sections on "Who cannot invest", "Prevention of Money Laundering", "Know Your Customer" and "Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have read and understood the details of the Scheme and have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby declare that I/We am/are authorized to make this investment and that the amount invested in the Scheme is through legitimate sources and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorize Daiwa Mutual Fund, Daiwa Asset Management (India) Private Limited (Investment Manager to Daiwa Mutual Fund) and its agents to disclose details of my investment to my bank, Daiwa Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We hereby declare that the details provided by me/us above are true and correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Daiwa Mutual Fund, its investment manager, their appointed service providers or representatives responsible. The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various mutual funds from amongst which the Scheme is being recommended to me / us. I/We confirm that I/ We do not have any existing Micro SIP investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a year (Applicable for Micro SIP investments only). I/We have read, understood and agreed to the Terms and Conditions for Auto Debit/ECS facility and will inform Daiwa Mutual Fund about any changes in my/our bank Account. Applicable to NRIs : I/We confirm that I am / we are Non-Resident(s) of Indian nationality / origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my / our NRE / FCNR account. I/We undertake that all additional / purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our NRE / FCNR account. I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Daiwa Asset Management (India) Pvt. Ltd., (Investment Manager to Daiwa Mutual Fund), their appointed service provider or representatives responsible. I/We will also inform Daiwa Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.

Date	DD / MM / YYYY	XX	XX	XX
		Sole / First Applicant / PoA	Second Applicant	Third Applicant
For Office use only (Not to be filled in by investor)	Recorded on	Recorded by	Credit Account Number	

#### AUTHORISATION OF THE BANK ACCOUNT HOLDER [(to be signed by the Account Holder(s))] (to be retained by the bank)

This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my/our payment towards my/our investment in Daiwa Mutual Fund shall be made from my/our below mentioned bank account number with your bank. I/We authorize Daiwa Asset Management (India) Pvt. Ltd. (Investment Manager to Daiwa Mutual Fund), acting through their service providers and representatives carrying the ECS mandate Form to get it verified and executed.

Account Number	XX	XX	XX
	Signature as in Bank Record	Signature as in Bank Record	Signature as in Bank Record
	First Applicant	Second Applicant	Third Applicant

#### ACKNOWLEDGEMENT SLIP (To be filled by the investor)

Received from	Mr./Ms./M/s/	Application No. SIP	
SIP / Micro SIP application for Units of	DAIWA		
Plan			
Option			
Sub-Option			
No. of Cheques		SIP / Micro SIP Auto Debit Facility	Total Amount (Rs.)
Date	DD / MM / YYYY		
Please Note : All purchases are subject to realization of instruments and furnishing of mandatory information / documents			ISC Stamp & Signature

## TERMS AND CONDITIONS FOR SIP/MICRO SIP WITH AUTO DEBIT/ECS FACILITY

1. **Minimum investment amount through SIP/Micro SIP is Rs. 500/-.**
2. Please check for the availability of SIP/Micro SIP facility in the respective Scheme.
3. This facility is offered to investors having bank accounts in selected cities and banks only.
4. Completed and signed SIP/Micro SIP Auto Debit/ECS Mandate Form and Common Application Form (in case of new investors) along with the first cheque should be submitted to the AMC/Karvy ISCs.
5. It is mandatory for investors to give a cheque for the first instalment. The first cheque should be drawn on the same bank account which is sought to be registered for Auto Debit/ECS. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which ECS is sought to be registered.
6. It is mandatory to mention the period of enrolment from date and to date.
7. First SIP/Micro SIP cheque and subsequent SIP/Micro SIP installments via Auto Debit/ECS should be of the same amount.
8. There shall be a gap of at least 30 days between the date of the first cheque and first instalment through Auto Debit/ECS. The AMC reserves the right to modify the SIP/Micro SIP period depending on the period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID) of the relevant scheme(s).
9. ECS facility is available only in respect of the cities as prescribed by the Reserve Bank of India (RBI).
10. The cities may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city, bank/branch is removed, SIP/Micro SIP instructions for investors in such cities, bank/branch via Auto Debit/ECS route will be discontinued without prior notice.
11. Micro SIPs where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March do not exceed Rs. 50,000/- (hereinafter referred as "Micro SIP") shall be exempt from the requirement of PAN/KYC subject to submission of any one of the necessary photo identification documents as detailed below. This exemption will be applicable only to investments by resident individuals, minors, sole proprietary firms and joint holders. HUFs and other categories will not be eligible for the exemption.
12. Investors (including joint holders) should submit a photocopy of any one of the following photo identification documents (current and valid and either self-attested by the investor or attested by an AMFI registered advisor mentioning the AMFI Registration number) along with the application form as a proof of identification in lieu of PAN:
  - Voter Identity Card; • Driving License; • Government/Defence identification card; • Passport; • Photo Ration Card; • Photo Debit Card (Credit card not included); • Employee ID cards issued by companies registered with Registrar of Companies; • Photo Identification issued by Bank Managers of Scheduled Commercial Banks/Gazetted Officer/Elected Representatives to the Legislative Assembly/Parliament; • ID card issued to employees of Scheduled Commercial/State/District Co-operative Banks; • Senior Citizen/Freedom Fighter ID card issued by Government; • Cards issued by Universities/deemed Universities or institutes under statues like ICAI, ICWA, ICSI; • Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); • Any other photo ID card issued by Central Government/Municipal authorities/Government organizations like ESIC/EPFO.
13. In addition to the photo identification documents prescribed above, a copy of the proof of address that is self attested and additionally attested by the ARN holder is required to be submitted.
14. The SIP/Micro SIP Enrollment will be discontinued in cases where two consecutive SIP/Micro SIP installments are not honoured or the bank account is closed and no request for change in bank account has been submitted.
15. SIP/Micro SIP facility is available only on specific dates of the month viz - 1st, 7th, 14th and 21st and all dates.
16. If the SIP/Micro SIP date/frequency is not mentioned or in case of any ambiguity, the SIP/Micro SIP date will be 7th of each month and the default frequency will be monthly.
17. You can choose to discontinue this facility by giving 14 days written notice to any of AMC/Registrar Investor Service Centres.
18. During ongoing purchase your first SIP/Micro SIP can be for any day of the month. Your second and subsequent SIPs/Micro SIPs are available only on the above specified dates of the month with a minimum gap of at least 30 days between first and second SIP/Micro SIP instalment.
19. If you are a new investor investing in a scheme/plan through the SIP/Micro SIP, please fill in all the sections in the Common Application Form except the section titled "Investment and Payment Details". The investment details must be indicated in the SIP/Micro SIP auto debit form.
20. Existing investors are required to submit only the SIP/Micro SIP Auto Debit/ECS form indicating the existing folio number and investment details in the SIP/Micro SIP section.
21. Request for change in bank mandate should be submitted atleast 30 business days before the due date of next SIP/Micro SIP instalment.
22. The bank provided for ECS (Debit) should participate in local MICR Clearing.
23. MICR code starting and/or ending with 000 are not valid for ECS.
24. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.
25. The investor undertakes to keep sufficient funds in the registered bank account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the relevant Scheme Information Document (SID). The Mutual Fund, its Registrar, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this arrangement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of bank's computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
26. Investors will not hold the AMC, its registrar, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP/Micro SIP date due to local holidays or any other reason.
27. Daiwa AMC reserves the right to reject any application without assigning any reason thereof.
28. Please refer the Key Information Memorandum (KIM) and SID for applicable NAV, risk factors, load (exit/entry) and other information on the Scheme/plan before investing.
29. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
30. To avail of SIP/Micro SIP in separate schemes/plans via Auto Debit/ECS facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously.
31. KYC is mandatory for all investors effective January 1, 2011. Please enclose a copy of the KYC Acknowledgement Letter for each applicant to evidence fulfillment of KYC requirements. For minor applicants, the Guardian needs to fulfill KYC requirements and enclose a copy of his/her KYC Acknowledgement Letter. Where the investment is made on behalf of an applicant by a Power of Attorney (POA) Holder, the POA Holder needs to fulfill KYC requirements and submit a copy of his/her KYC Acknowledgement Letter. In case you are not yet KYC-compliant, please approach a Point of Service (PoS) of CDSL Ventures Limited to obtain KYC compliance and submit a copy of your KYC Acknowledgement Letter to us. Applications not complying with the above requirement may not be accepted / processed.